\*Total of

Doc Code: AP.PRE.REQ

Approved for use through xxxxx/200x. OMB 0651-00xx

U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE

Under the Pagerwork Reduction Act of 1995, no persons are required to respond to a collection off information unless it displays a valid QMB control number. Doc Code: AP.PRE.REQ Docket Number (Optional) PRE-APPEAL BRIEF REQUEST FOR REVIEW MS1 0789US I hereby certify that this correspondence is being deposited with the **Application Number** United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for 09/882.810 6/14/2001 Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] ପାତ୍ୟାତ୍ୟ First Named Inventor Shannon J. Chan Art Unit Examiner Typed or printed 2131 Rachel Murphy Taghi T Arani name . Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request. This request is being filed with a notice of appeal. The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided. I am the applicant/inventor. Signature assignee of record of the entire interest. Daniel L. Hayes See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) Typed or printed name attorney or agent of record. 34618 509-324-9256 Rogistration number\_ Telephone number attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

This collection of information is required by 35 U.S.C. 192. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41,6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Oepartment of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND PEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

forms are submitted.

## AUG 1 8 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No	
Filing Date	6/14/2001
Confirmation No.	7986
Inventorship	
Applicant	Microsoft Corporation
Group Art Unit	
Examiner Attorney's Docket No.	MS1-789US
Title: Key Exchange Mechanism for Streaming Protected Media Content	

## PRE-APPEAL BRIEF

Independent claims 1, 13, 19, 24, and 27 have been rejected as being anticipated under §102 by the Spies reference. The Examiner's error in making this rejection is plainly illustrated by the following two paragraphs, taken from page 2 of the 5/31/05 Office Action:

As per independent claims 1, 13, 19, 24, and 27, the Applicant merely argues that the cited prior art of Spies does not describe exchanging keys between a DVD drive and any other component and that it does not disclose passing one or more keys from a DVD. The Applicant admits (page 12 of the REMARKS) of Spies discussing various types of keys and communication of keys between different components, including servers and clients, but argues that Spies does not disclose passing keys from DVDs (page 9 of the REMARKS).

The Examiner responds that independent claims 1, 13, 19, 24, and 27 are rejected based on U.S.C. 102(b) and the functional elements of exchanging keys were found to be substantially anticipated by Spices, where the program key is exchanged between purchaser and merchant units using pair of public and private exchange keys (col. 3, lines 19-51, see also col. 11, lines 46+) to obtain decryption capabilities.

A §102 rejection requires that each and every element of a claim be present in a single reference, arranged as claimed. Claim 1, as an example, recites that a client and server "pass one or more keys from the DVD to the key exchange client

24

Q

10

11

12

13

14

15

16

17

18

19

20

21

22

23

above.

7

10

12

LEE & HAYES FLE

0818031120 U:\QASFORM.WPD

In response to the argument that Spies does not show passing keys from DVDs, the Examiner has maintained that even so, Spies "substantially" anticipates the claims. The Applicant respectfully submits that this does not meet the requirements of §102. Spies does not show all the elements of the independent claims, and therefore does not anticipate the claims.

Spies does not show this, as the Examiner impliedly admits in the passage

Withdrawal of the rejections is therefore requested.

Respectfully Submitted,

B

Daniel L. Hayes Reg. No. 34,618 (509) 324-9256